Catamorphism に基づく関数プログラムの変換

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あらまし: Accumulation とは、構造を持つデータに対する計算を、途中経過を保持しつつ進めていく方法であり、逐次・並列を問わず、効率の良いプログラムの設計・記述によく用いられる。本論文では、Accumulation を定式化するために、従来の Catamorphism の考えを拡張した高階 Catamorphism を提案する。また、高階 Caramorphism を用いて記述されたプログラムの実行効率を改善するための、基本的な変換定理 (プロモーション定理)を示す。さらに、具体的な変換手順を、いくつかの例とともに示す。

キーワード: 関数プログラム, プログラム変換, Accumulation, 高階 Catamorphism, プロモーション

Catamorphism Based Transformation of Functional Programs

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Abstract: Accumulations are operators on structured object that proceed their computation on each element of the object keeping some intermediate results. Accumulations are widely used in the design of efficient sequential and parallel programs. The purpose of this paper is to deal with the transformation on accumulations so that more efficient programs can be derived. We formulate accumulations by means of higher order catamorphisms and propose a promotion theorem for accumulations. Some examples are given to explain our method.

keywords: Functional Programs, Program transformation, Accumulation, Higher order catamorphism, Promotion

1 Introduction

Accumulations are operators on structured object that proceed their computation on each element of the object keeping some intermediate results.

Accumulations have gained a wide interest in the design of both sequential programs [1, 2] and parallel programs [4]. Especially in parallel programming, accumulations are considered as one of basic parallel operators [3], and a special hardware for *scan* accumulations has been installed in CM5 [5] recently.

The purpose of this paper is to deal with the transformation on accumulations so that more efficient programs can be derived. We have two problems here: one is how to formulate accumulations and the other is how to perform transformation on such accumulations.

For the first problem, it has been suggested that an accumulation can be efficiently described by the parameter accumulation whereby a specification is generalized by the inclusion of an extra argument [1, 8, 9]. Because such parameter accumulations can be of any style, it follows that the promotion strategy for parameter accumulations seems difficult to find. To overcome this shortcoming, we make restriction on the style of parameter accumulations, requiring that parameter accumulations be specified by function-valued catamorphisms (i.e. higher order catamorphisms). With this restriction, the promotion on accumulations can be well done while the descriptive power of higher order catamorphisms for accumulations is not decreased.

After settling the first problem, the second problem only needs to find a proper and powerful promotion strategy for the transformation of accumulations. Thanks to the uniform style of our accumulations, the promotion theorem can be naturally derived

We adopt Bird-Meertens Formalism (BMF) as our algebraic framework. The BMF was firstly a calculus for the derivation of programs developed by Bird and Meertens[2, 11], and then extended to be a more general theory on structured data types based on category theory[10]. Besides its conciseness and higher degree of abstraction, it places a heavy emphasis on the algebraic properties of data types, resulting in a rich and powerful body of laws which show the close correspondence between data structures and control structures. In BMF, there are two important concepts: catamorphism and promotion. A catamorphism is, put simply, a unique homomorphism from

the specified structured data type to another similar type, while the promotion theorem is a general transformation strategy for the manipulation of catamorphisms. If we could describe an accumulation by a catamorphism, manipulation on accumulations would be reduced to that on catamorphisms. Unfortunately, there are few study on the transformation of accumulations as well as higher order catamorphisms in BMF.

This paper is organized as follows. We introduce briefly some BMF notational conventions in Section 2. In section 3, we use some examples to show that higher order catamorphisms can describe accumulations effectively. Section 4 proposes a promotion theorem for our transformation. Two applications are given in Section 5, and finally some discussions are described in Section 6.

2 Basic Notational Conventions

The notation we use is based on that of Bird [2, 10]. We denote the application of function f to argument a with f a, and denote the functional composition with an infix dot (.) as (f,g) x = f(g|x).

We often use the symbols such as \oplus , \otimes , \cdots to denote infix binary operators. These operators can be turned into unary functions by *sectioning* or partial application:

$$(a\oplus)$$
 $b = a \oplus b = (\oplus b)$ a

A data type is constructed as the *least solution* of a recursive type equation and determined by a type functor F with some type constructors τ_i ($i = 1, \dots, n$). A type functor is a function from types to types that has a corresponding action on functions which respects identity and composition. For example, the type of the cons list with elements of type a is defined by

$$Cons\ a ::= [\] \mid a : (Cons\ a),$$

and according to this type equation. F is defined as

For object:
$$F X = 1 + !a \times X$$

For function: $F f = id + id \times f$

where 1 is the terminal object, ! is a constant functor, \times is the product and + is the sum. In this case, there are two type constructors ([] and :).

Central to this paper is the notion of catamorphisms which form important functions over a given data type. They are the functions that *promote*

through the type constructors. The consequence of the definition of a type as the least solution of a type equation is the unique existence of the catamorphism. For example, for the cons list, given e and \oplus , there exists a unique catamorphism, say cata, satisfying the following equations.

$$\begin{array}{rcl} cata \ [\] & = & e \\ cata \ (x:xs) & = & x \oplus (cata \ xs) \end{array}$$

In essence, this solution is a relabeling: it replaces every occurrence of [] with e and every occurrence of : with \oplus in the cons list. Since e and \oplus uniquely determine a catamorphism, we are likely to use special braces to denote this catamorphism:

$$cata = ([e, \oplus])$$

The catamorphisms are *manipulatable* in the sense that they obey a number of promotion or distributivity laws that are useful for transformation.

3 Specification with Higher Order Catamorphisms

The higher order catamorphism on a specified data type \mathcal{T} is a catamorphism whose result of its application to a data of type \mathcal{T} is still a function. Higher order catamorphisms are more powerful than first order ones in that many accumulations which cannot be described or cannot be efficiently described by first order catamorphisms can be efficiently described by higher order catamorphisms.

The procedure to specify accumulations by higher order catamorphisms is similar to the accumulational transformation [1]. The difference is that the final result we obtain is of the style of higher order catamorphisms. Suppose that the initial algorithm is specified naively by

$$acc :: \mathcal{T} \to C$$
,

where \mathcal{T} is determined by functor F with constructors τ_1, \dots, τ_n . The accumulational specification using higher order catamorphisms is derived by the following three steps:

Define a function ace' by including a new parameter ac:

$$acc \ xs = acc' \ xs \ ac$$

2. Find all g_i 's satisfying

$$acc'$$
. $\tau_i = g_i$. $(F acc')$ $(i = 1, \dots, n)$

To see clearly about the above equation, we give an example of cons lists. It turns out that

- $T = Cons \ a$
- $\tau_1 = [], \tau_2 = :$
- $F \ acc' = id + id \times acc'$

What we want to find are $g (= g_1)$ and $\oplus (= g_2)$ that satisfy the following equations.

$$\begin{array}{lcl} acc' \; [\;] & = & g \\ acc' \; (x:xs) & = & x \; \oplus \; xs \end{array}$$

3. Re-express acc as

$$acc \ xs = ([q_1, \cdots, q_n]) \ xs \ ac$$

The correctness of the above transformation can be easily proved by induction on the construction of the type \mathcal{T} based on the theory of Malcolm [10] and Hagino [7].

The difficulty for such transformation is to find those g_i 's that meet the requirement. In BMF, this can be done by calculation. Let us see some examples.

Example 3.1 Considering a function computing the initial sum of a list, we can define it naively as follows.

$$isum[]$$
 = []
 $isum(x:xs)$ = $x:(map(x+)(isum xs))$

It is not efficient because "map~(x+)" costs much, even though it is a catamorphism on cons lists. Rather than perform transformation from the initial inefficient definition, we are likely to rewrite it first into accumulational form and then perform other transformation. Let

$$isum \ xs = isum' \ xs \ 0$$

and we find $g_1 = \epsilon$ and $g_2 = \oplus$ where

$$\begin{array}{lll} \epsilon & = & \lambda e.[\] \\ x \oplus p & = & \lambda e.((e+x):(p\ (e+x))) \end{array}$$

It is not difficult to check that

$$isum'$$
. $\tau_i = g_i$. $(F isum')$ $(i = 1, 2)$

holds, where $\tau_1 = [\]$ and $\tau_2 = :$. To this end, the function isum' can be specified by $(\![\epsilon, \oplus]\!]$, a higher order catamorphism.

As the result, the new program becomes as follows.

$$isum'$$
 [] = λe .[]
 $isum'$ (x:xs) = $x \oplus (isum' xs)$
 $where$
 $x \oplus p = \lambda e$.((e+x):(p(e+x)))

It should be noted that a program written by a higher order catamorphism is not always efficient, because it depends much on how to accumulate. To define an efficient program by means of higher order catamorphisms, we need to find a suitable accumulation method. It will be seen later that accumulational parameters can be of anything such as simple data or even functions depending on problems.

Another example is somewhat different in that the initial specification can not be written directly without an accumulational parameter.

Example 3.2 Define a function isub that computes the initial subtraction of a list, e.g.

$$\begin{array}{l} isub \; [5,2,1,4] \\ = \; [5,5-2,5-2-1,5-2-1-4] \\ = \; [5,3,2,-2] \end{array}$$

In fact, the function isub can not be specified by a first class catamorphism on cons list because the subtraction is not commutative. In other words, there exists no such a binary operation \oplus that makes

$$isub(x:xs) = x \oplus (isub(xs))$$

hold. However, with higher order catamorphisms it can be effectively specified.

We show the result below, omitting the derivation procedure.

$$\begin{array}{ll} isub' & = & (\![\,\delta, \oplus]\!) \\ & where \\ & \delta = \lambda f.[\,] \\ & x \oplus p = \lambda f.((f\ x):(p\ ((f\ x)-))) \end{array}$$

It is interesting to see that functions are used for accumulation.

It has been shown by the above two examples that higher order catamorphisms are fit to specify accumulations. More formal discussions on this topic will be done in the future.

4 Manipulating Accumulations

As we have seen that many accumulational algorithms can be specified by higher order catamorphisms, transformation on accumulations is reduced to that on catamorphisms.

In the world of first order catamorphisms, the promotion theorem tells us that the composition of a homomorphism with a catamorphism is again a catamorphism.

Theorem 4.1 (First Order Promotion[10])

Assume that $[f_1, \dots, f_n]$ is a first order catamorphism with respect to the type functor F. For a given h, if there exist g_1, \dots, g_n satisfying

$$h.f_i = g_i.(F \ h) \quad (i = 1, \dots, n)$$

then

$$h.([f_1,\cdots,f_n]) = ([g_1,\cdots,g_n])$$

As to our higher order catamorphisms, we have the similar promotion theorem.

Theorem 4.2 (Higher Order Promotion)

Let $([\phi_1, \dots, \phi_n]) :: L \to (A \to B)$ be a higher order catamorphism on type L with respect to the functor F. If there exists ψ_1, \dots, ψ_n satisfying

$$(h.). \phi_i = \psi_i. F(h.) \quad (i = 1, \dots, n)$$

then

$$h.(([\phi_1,\cdots,\phi_n])as)=([\psi_1,\cdots,\psi_n])as$$

Based on our promotion theorem together with other transformational rules, many efficient programs can be derived. To express the process of transformation, we use Feijen's proof format that provides a clear method of laying out a calculation. The calculation is displayed in the form of

$$P$$
= { hints as to why $P = Q$ }
$$Q$$
= { hints as to why $Q = R$ }

Let us see an example.

Example 4.1 Consider that we want to simplify the expression

$$ex \ xs = f * (isum' \ xs \ 0).$$

Since

$$(f*.\epsilon) a$$

$$= \{ \text{ def. of } \epsilon \}$$

$$f*((\lambda e.[]) a)$$

$$= \{ \text{ application } \}$$

$$f*[]$$

$$= \{ \text{ def. of map } \}$$

$$[]$$

$$= \{ \text{ abstraction } \}$$

$$(\lambda e.[]) a$$

$$= \{ \text{ def. of } \epsilon \}$$

and

.

```
 (f*.(x \oplus p)) \ a 
= { definition of \oplus in isum' }
  f*(a+x:(p\ (a+x)))
= { by the definition of * }
  f\ (a+x):f*(p\ (a+x))
= { let (x \otimes p)\ a = f\ (a+x):p\ (a+x) }
  (x \otimes (f*.p))a
```

hold, we change the above two equations to those in variable free notation:

$$\begin{split} (f*\,.)\,.!\epsilon &= \epsilon\,.id = \epsilon\,.F(f*\,.)\\ (f*\,.)\,.\oplus &= \otimes\,.\left(id\times(f*\,.)\right) = \otimes\,.F(f*\,.) \end{split}$$

Based on the promotion theorem, we can simplify the original expression.

$$\begin{array}{ll} f*(isum'\ xs\ 0) \\ &= \{\ \ \text{composition and application}\ \} \\ &= \{\ f*.(isum'\ xs))\ 0 \\ &= \{\ \text{the derived result and promotion theorem}\ \} \\ &= \{\ \ (f*.).\ (\llbracket\epsilon,\otimes\rrbracket)\}\ xs\ 0 \\ &= \{\ \ \text{promote}\ f*\ \text{into catamorphism}\ \} \\ &= \{\ \ \epsilon,\otimes\rrbracket\}\ xs\ 0 \end{array}$$

The derived program is as follows.

$$ex \ xs = ex' \ xs \ 0$$

 $ex' \ [\] = \lambda e.[\]$
 $ex' \ (x : xs) = x \otimes (ex' \ xs)$
 $where$
 $x \otimes p = \lambda e.((f \ (e + x)) : (p \ (e + x)))$

The example shows that transformations on higher order catamorphisms are as direct as those on first order ones. One of the benefits of our method is its convenience for hierarchical optimization, i.e. we perform simple local optimization with higher order catamorphisms, and then combine them to a big efficient higher order catamorphism based on the promotion theorem. By repetition of this procedure, many efficient programs can be systematically derived from much more complicated initial specification.

5 Some Applications

5.1 Downwards tree accumulations

The initial motivation for us to associate higher order catamorphisms with accumulations is to find a method to formulate downwards tree accumulations which are both efficient and manipulatable. Gibbons[6] proposed this problem and claimed that some restrictions on the initial downwards tree accumulations are necessary. However, his complicated discussion leaded us to seek another simpler and more concise method. Using higher order catamorphisms to specify downwards tree accumulations, we not only solve the problem, but also make Gibbons' restrictions unnecessary.

Downwards tree accumulations, depending on three operations f, \oplus and \otimes , are defined on the tree type of

$$Tree\ a ::= Leaf\ a \mid Node\ a\ (Tree\ a)\ (Tree\ a)$$

as:

$$\begin{array}{l} da_{(f,\oplus,\otimes)}\ (Leaf\ a) = f\ a\\ da_{(f,\oplus,\otimes)}\ (Node\ a\ x\ y)\\ = Node\ (f\ a)\ (da_{(((f\ a)\oplus),\oplus,\otimes)}\ x)\ (da_{(((f\ a)\otimes),\oplus,\otimes)}\ y). \end{array}$$

Many tree algorithms are described by them. For example, the application of $da_{(id,+,+)}$ to the tree of

will produce the tree of

as shown below.

It is clear that $da_{(f,\oplus,\otimes)}$ may be implemented in parallel by allocating one processor for each node in the tree. Unfortunately, such definition is not manipulatable, because it is not a catamorphism.

Gibbons claimed that only under some conditions could downwards tree accumulation be expressed as a catamorphism. In fact, the catamorphism he referred to is first order catamorphism. If we use higher order catamorphisms for description, many problems can be solved.

As usual, we rewrite downwards tree accumulations into a higher order catamorphism. Let

$$da_{(f,\oplus,\otimes)} tr = da'_{(f,\oplus,\otimes)} tr f$$

and we get

$$da'_{(f, \oplus, \otimes)} = ([\lambda g. Leaf(g \ a), dnode])$$

where

```
\begin{array}{l} dnode\ a\ u\ v \\ = \lambda g.\ Node\ (g\ a)\ (u\ ((g\ a)\oplus))\ (v\ ((g\ a)\otimes)) \end{array}
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The new definition of the downwards tree accumulation may also be efficiently implemented both in sequential and parallel. Moreover, based on our promotion theorem, transformation on such accumulation can be performed, and as the result, many efficient parallel tree algorithms are derived.

5.2 Finding palindromic words

Consider that we want to derive an efficient program for the problem of finding all the words that are palindromes in a given character list.

5.2.1 The specification

The problem can be solved by three steps:

 Define the function fields to break up a line (represented as a list of character) into a list of words. It may be defined as follows.

$$fields [] = []$$

$$fields (c:l)$$

$$= fields l, if c == Space$$

$$= word [c] l, otherwise$$

$$word w (c:l)$$

$$= word (w ++ [c]) l, if c \neq Space$$

$$word w l$$

$$= w: (fields l), otherwise$$

Construct another word list in which all words obtained in step 1 are reversed.

$$reverse*.fields$$

Compare two word lists in step 1 and 2 and select the words that have the same spelling.

$$cs \ = \pi_1 * \, . \, eq_w \mathrel{\triangleleft} . \, zip$$

where

$$\begin{array}{l} eq_w \ [\]\ [\] = True \\ eq_w \ (x:xs) \ (y:ys) \\ = False, \ if \ x \neq y \\ = eq_w \ xs \ ys, \ otherwise \end{array}$$

and

$$\begin{array}{lll} zip \ ([\],[\]) & = & [\] \\ zip \ (x:xs\,,\,y:ys) & = & (x,y):zip \ (xs,ys) \\ p \vartriangleleft [\] & = & [\] \\ p \vartriangleleft (x:xs) & = & x:(p \vartriangleleft xs), \ if \ p \ x \\ & = & p \vartriangleleft xs, \ otherwise \\ \pi_1 \ (x,y) & = & x \end{array}$$

To summarize, the initial specification for the problem is

$$pw \ xs = (cs.pl) \ xs$$

where

$$pl\ xs = (fields\ xs\,,\,(reverse*\,.\,fields)\ xs).$$

It is a quadratic program, which is not so efficient.

5.2.2 The derivation

The derivation starts from the local optimization of fields, and then performs promotional transformation repetitly to find the optimized program for the whole specification.

For simplicity, we assume that each word in the given character list is followed by a single space.

Making fields linear

As usual, by adding an extra accumulational parameter, we transform fields into fields' to be a higher order catamorphism. At the first glance, the accumulational parameter might be defined as a list holding parts of the scanning word and being concatenated to its end with the currently scanning character. But this is not enough because the concatenating operation (+) costs too much. Therefore, we use Hughes' idea [9], which represents the list $xs = [x_1, x_2, \cdots, x_{n-1}, x_n]$ by the following function composition.

$$f = (x_1:).(x_2:)....(x_{n-1}:).(x_n:)$$

To get xs from f, we have only to apply the empty list to f. By this representation, concatenating a character to the end of a list can be performed in constant time.

The following is the result of rewriting fields into an efficient higher order catamorphism. Let

$$fields \ xs \ = \ fields' \ xs \ id$$

and define fields' in which w is for the use of the word accumulation.

$$\begin{array}{lll} fields' & = & (\![\alpha,\ominus]\!] \\ \text{where} \\ \alpha \ w & = & [\![]\!] \\ (x\ominus p) \ w & = & p \ (w \cdot (x:)), & if \ x \neq Space \\ & = & (w[\]\!) : (p \ id), & otherwise \\ \end{array}$$

The transformed fields is a linear program.

Promoting reverse* into fields

Since we have got an efficient program for fields, we hope to derive an efficient program for reverse*. fields by promoting reverse* into fields. With the similar procedure as in Exercise 4.1, β and \otimes can be derived satisfying

$$(reverse*.) \cdot \alpha = \beta$$

 $(reverse*.) \cdot \ominus = \otimes \cdot (id \times (reverse*.))$

where

$$\begin{array}{lll} \beta \ w & = & [\] \\ (x \otimes p) \ w & = & p \ ((x:) \cdot w), & if \ x \neq Space \\ & = & (w[\]) : (p \ id), & otherwise. \end{array}$$

The details of this derivation are not addressed here, but it should be noted that during the derivation we have to use the following property.

$$reverse ((ws.w) []) = (w.reverse.ws) []$$

Based on the promotion theorem, we get

$$reverse*.(fields' xs) = ([\lambda w.[], \otimes)) xs$$

So the whole transformation becomes:

$$(reverse*.fields) xs$$
= { new definition of fields }
$$reverse*(fields' xs id)$$
= { functional composition }
$$(reverse*.(fields' xs)) id$$
= { result above }
$$([\lambda w.[], \otimes] xs id$$

The derived program is an efficient linear program.

Making pl as a catamorphism

According to the above transformation, the definition of pl becomes as follows.

$$pl \ xs = (([\alpha, \ominus]) \ xs \ id, ([\beta, \otimes]) \ xs \ id)$$

It will be shown that pl can be transformed into a higher order catamorphism. This transformation will make it easier for the next step of transformation.

For notational convenience, we define

$$(f || g) (x, y) = (f | x, g | y)$$

and unzip which is an inversion of zip, e.g.

$$unzip [(1,a),(2,b),(3,c)] = ([1,2,3],[a,b,c])$$

Now expressing pl by ||, we have

$$pl \ xs = pl' \ xs \ (id, id)$$

where

$$pl' xs = (([\alpha, \ominus]) xs || ([\beta, \otimes]) xs).$$

The derivation of a higher order catamorphism for pl', say $([\gamma, \odot])$, is shown below.

First, we find γ .

$$\begin{array}{ll} pl' \ [\] \ (w_1,w_2) \\ = \ \{ \ \ \text{def. of } pl' \ \} \\ \ ((\ [\alpha,\otimes]) \ [\] \ || \ ([\beta,\otimes]) \ [\]) \ (w_1,w_2) \\ = \ \{ \ \ \text{def of catamorphism} \ \} \\ \ (\alpha \ || \ \beta) \ (w_1,w_2) \\ = \ \{ \ \ \ \text{define} \ \gamma = (\alpha \ || \ \beta) \ \} \\ \ \gamma \ (w_1,w_2) \end{array}$$

Next we find \odot .

To this end, we have reached our result.

$$pl \ xs = ([\gamma, \odot]) \ xs \ (w_1, w_2)$$

Promoting cs into pl

The last step of our derivation is to promote cs into pl to get a tight program. We show only the final result, because the derivation procedure is similar to

that discussed already.

```
 \begin{array}{l} (cs\,.\,pl)\;xs \\ = \; \{\;\; \mathrm{def.}\;\,\mathrm{of}\;cs\;\,\mathrm{and}\;\,pl\;\;\} \\ ((\pi_1\,.\,eq_-w\,\triangleleft\,.\,zip).(pl'\;\,xs))\;\,(w_1,w_2) \\ = \; \{\;\; \mathrm{promote}\;\pi_1.eq_-w\,\triangleleft\,.zip\;\,\mathrm{into}\;\,pl'\;\;\} \\ ([k,\oslash])\;\;xs\;\,(w_1,w_2) \\ \mathrm{where} \\ k = \lambda w.[\;] \\ (x\oslash p)\;\,(w_1,w_2) \\ = \;\; p\;\,(w_1.(x\,:),(x\,:).w_2),\;ifx\neq Space \\ = \;\;w_1[\;]:\;p\;\,(id,id),\;if\;\,eq_-w\;\,(w_1[\;])\;\,(w_2[\;]) \\ = \;\; p\;\,(id.id),\;\,otherwise \end{array}
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The last derived result is linear. This ends our whole derivation.

6 Discussions

As shown in this paper, higher order catamorphisms are powerful for both specification and transformation. But our work is just started. Many further investigations are needed.

One of our future work is to apply our method to the derivation of correct parallel programs. It has been shown that accumulations are becoming more and more important in parallel programs. Blelloch [3] and many others argued that the accumulations could be regarded as a basic parallel operators and many useful parallel programs can be constructed by them. We hope that our study will be useful for the development of efficient parallel programs based on accumulations.

Another future work that seems interesting is to find how to derive efficient parallel programs by manipulating accumulations of parallel data structures (e.g. trees, arrays and etc.). It is said that parallel data structures are of great important in parallel programming. It has been shown in the paper that the downwards tree accumulation becomes manipulatable by using higher order catamorphism without losing its efficiency in parallel implementation. Based on this result, we will undertake to derive efficient parallel programs for tree problems.

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